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Abstract

This paper investigates the feasibility of a self-organizing, completely distributed traffic information system based upon vehicle-to-vehicle communication technologies. Unlike centralized traffic information systems, the proposed system does not need public infrastructure investment as a prerequisite for implementation. Due to the complexity of the proposed system, simulation is selected as the primary approach in the feasibility studies. A simulation framework is built based on an existing microscopic traffic simulation model for the simulation studies. The critical questions for building the proposed market-driven system are examined both from communication requirements and traffic engineering points of view. Traffic information propagation both in freeway and arterial networks via information exchange among IVCequipped vehicles is tested within the simulation framework. Results on the probability of successful IVC and traffic information propagation distance obtained from the simulation studies are generated and analyzed under incident-free and incident conditions for various roadway formats and parameter combinations. Comparisons between the speed of the incident information wave and the speed of the corresponding traffic shock wave due to the incident are analyzed for different scenarios as the most crucial aspect of the information propagation as a potential foundation for application in such a decentralized traffic information system.

1. Introduction

Since the introduction of intelligent transportation systems (ITS) in the early 1990s, there has been growing interest in potential applications of the use of wireless communication between vehicles, usually referred to as inter-vehicle communication (IVC) (Varaiya, 1993). Almost all of the initial research efforts and applications in this area focus on Advanced Vehicle Control Systems (AVCS) in applications ranging from driver assistance/warning to fully autonomous driving (Aoki and Fujii, 1996; Kim and Nakagawa, 1997; Michael and Nakagawa, 1999; Tank and Linnartz, 1997; Verdone, 1997). Implementations can be found in ITS projects including RACS in Japan (Takada et al, 1989), PROMETHEUS in Europe (Catling and Belcher, 1989) and PATH in the United States (Shladover et al, 1991). A number of major automobile manufacturers (DaimlerChrysler, GM, Toyota, Nissan, VW, Ford, and BMW) have joined with USDOT to form a Vehicle Safety Communications Consortium to identify applications and to promote standardization of protocols in this area (National Highway safety Administration, 2005). Similar applications in Advanced Transportation Management Systems (ATMS) and Advanced Transportation Information Systems (ATIS) have been much less well developed, but are beginning to emerge both in Europe (DaimlerChrysler AG, 2000; Definiens AG, 2001; Franz, et al, 2001) and in the United States, where Ford Motor Company announced in February 2004 that it was pursuing "the next-generation travel advisory system" by turning "vehicles into mobile traffic-monitoring tools" (Rajiv Vyas, Detroit Free Press, February 27, 2004). As in the case of AVCS applications, cooperative groups are being formed to guide development and standards for ATIS applications, led by the Car 2 Car Communication Consortium (www.car-tocar.org) of European automobile manufacturers (Audi, BMW, DaimlerChrysler, FIAT, Renault, and VW).

Interest in the potential application of IVC technology as a foundation for ATIS has been coincident with research development in computer networks, especially in ad-hoc mobile computer networks—wireless, self-configuring and self-optimizing data networks without

infrastructure (also called spontaneous networks), in which the network automatically "emerges" when nodes gather together. In IVC-based ATIS, vehicles are envisioned to exchange precise position information from satellite navigation data (GPS) via IVC at low cost to optimize traffic flows and provide valuable, real-time traffic information to the drivers. The resulting network forms a decentralized autonomous system, with locally generated real-time traffic information and safety-relevant data, in which IVC-equipped vehicles are linked in a highly mobilized ad-hoc network to share necessary information. Some initial analytical and simulation results for proposed systems have recently appeared in the literature (Briesemeister, et al., 2000; Rohling and Ebner, 2001; Bogenberger and Kosch, 2002; Kosch, et al, 2002; Füssler, et al, 2003); most of these works have arisen from the computer science/network research field and have focused on the problem of ad-hoc routing algorithms for IVC traffic information system applications. The most comprehensive work to date on such systems arising principally from the transportation application has been due to Ziliaskopoulos and his colleagues (Ziliaskopoulos and Zhang, 2003) in America and to European investigators participating in the FleetNet project (Mauve, et al, 2001, Kaesemann, et al., 2002; Festag, et al., 2004).

This paper investigates the feasibility of a distributed traffic information system based on intervehicle communication (IVC) technology. Specifically, using simulation modeling techniques, we determine the thresholds for some of the parameters necessary to support the systematic collection and provision of useful and in time (real-time or close to real-time) traffic information in a self-organized, distributed traffic information system, dubbed *Autonet*, that is based upon the peer-to-peer information exchange among vehicles, as depicted in Figure 1.

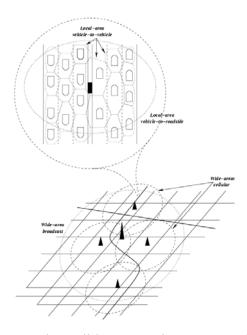


Figure 1. Autonet and Possible Supporting Communication Systems

Because mechanisms for information propagation include both "hopping" along vehicles moving in the same direction of flow as well as "cross transference" of information to vehicles moving in the opposite direction, the system is characterized by a highly dynamic environment in which the traffic flow produces rapidly-changing communication network topologies. Potential applications of this *Autonet* concept for traffic management and traveler information are intrinsically based on achieving information propagation throughout the traffic network; however, because penetration of the necessary technology to the fleet of vehicles can be expected to be gradual, a "mixed" network of IVC-capable vehicles and non-IVC capable vehicles will exist for some period of time. The concept closely follows that of SOTIS (Self-Organizing Traffic Information System) proposed by Rohling and his colleagues (Rohling and Ebner, 2001; Wischhof, et al., 2003a, 2003b; Wischhof, et al., 2004).

In this paper, we attempt to give answers to two questions: (1) What IVC equipment penetration rate is needed for information propagation to extend to a substantial part of the whole network under various traffic conditions?, and (2) What IVC system requirement is needed to disseminate incident information faster than the attendant traffic/vehicle wave propagates through the network? We analyze these two issues for various possible IVC technologies and for different roadway network formats and different road traffic conditions. In addressing these open questions that may be barriers for implementation of self-organizing, IVC-based traffic

information systems, our focus is on the potential for the concept of IVC-based systems to serve aspects of transportation systems management; the traffic-oriented abstraction evaluation framework is developed without detailed electronic engineering and computer science modeling. Nonetheless, the results serve to identify, at least roughly, the system implementation requirements for both software and hardware sides as well.

2. Simulation Framework

Because its complexity as a non-linear and self-organizing system largely prohibits analytical modeling of any practical application, we employ microscopic simulation both for modeling vehicle movements in the traffic network, as well as for modeling inter-vehicle communication between neighboring IVC-capable vehicles. Simulation has been used successfully in a growing number of studies of IVC; these can be distinguished in terms of their treatment of the interaction between the traffic and information flows. Where information flow is assumed independent from traffic flow, IVC-capable vehicles are modeled as static objects in a snapshot of moving traffic rather than as moving objects with dynamic interaction with other vehicles in the traffic network, and interest typically has focused on communication protocols or routing algorithms (Michael and Nakagawa, 1999; Briesemeister et al., 2000; Ebner and Rohling, 2001). In contrast, in studies modeling information flow combined with traffic flow, where IVC-capable vehicles are modeled as moving objects with dynamic interaction with other vehicles in the traffic network, the primary focus has been on information propagation and dissemination in the traffic network (Widodo and Hasegawa, 1998; Ziliaskopoulos and Zhang, 2003).

For this study, we use PARAMICS (PARAllel MICroscopic Simulator), originally developed at EPCC (Edinburgh Parallel Computing Center) at University of Edinburgh in Scotland, primarily because its API library can be used to customize and extend many features of the underlying simulation model, and it has been the subject of model calibration and validation (Abdulhai et al., 1999; Yang, 1999; Gardes, et al., 2002) in California and in other parts of the world. In the study, the PARAMICS simulation model is used to provide a simulated traffic system and functions

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² Because PARAMICS is a time-step simulation model, each vehicle in the simulation updates its location and speed information only after each pre-defined time step interval; therefore, the value of our IVC modeling parameter – communication cycle – is restricted to be equal to or greater than the PARAMICS simulation time step value in order not to send duplicate vehicle information to itself, which is guaranteed in our simulation implementation.

only as a vehicle mover based on its own built-in car-following and lane-changing models; it functions underneath a decentralized information system simulation layer built based upon intervehicle communication technologies. A complementary inter-vehicle communication simulation module that simulates information exchange among IVC-capable vehicles equipped with GPS receivers was developed in order to emulate the self-organizing distributed traffic information system. The IVC simulation layer receives each IVC vehicle's speed and location information at each simulation time-step, and then, dependent on these speed and location data, emulates intervehicle communication and processes any new information received from other IVC vehicles in the simulation implementation during that time step.

All vehicles follow movement logic and route choice according to the shortest path protocols in PARAMICS. Inter-vehicle communication is modeled by the abstraction (Figure 2) that neighboring IVC-capable vehicles may exchange information if the distance (D) between them is less than the communication radius range (R). This abstraction in the simulation modeling does not focus on the specific inter-vehicle wireless communication technologies in any detailed way.

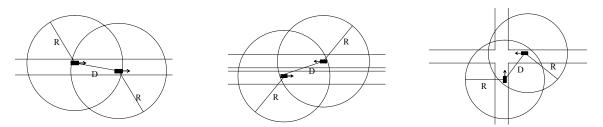


Figure 2. Inter-vehicle Communication Modeling Abstraction

In the simulation, each vehicle released into the network is labeled randomly as being either IVC or a non-IVC capable, according to a pre-defined IVC market penetration rate that represents the percentage of total vehicles in the traffic network with IVC and GPS equipment installed. At pre-defined time intervals (every 0.5 seconds) – called the communication cycle – during the simulation, each IVC-capable vehicle traveling in the network gets information from neighboring IVC vehicles within range, processes that information, and then broadcasts any processed information that it already has for possible consumption by other IVC vehicles within range.²

For each IVC capable vehicle that is released, API modules create a data structure that documents at each time step: 1) vehicle ID#, 2) direction in which the vehicle is moving, 3) link ID# of the link through which the vehicle is just passing, 4) the total number and all vehicle ID#s of IVC vehicles that are in the IVC communication radius range at this time step, and 5) information buffers to store traffic information and possible incident-related information from other IVC vehicles via peer-to-peer information exchange. When a particular IVC vehicle arrives at its destination, the data structure created for this specific vehicle removed from the link list that holds data structures for all IVC vehicles currently simulated in the network.

Information buffers are created for traffic and incident-related information and initialized to "empty" immediately following the release of an IVC vehicle. In the vehicle-to-vehicle information exchange among IVC-capable vehicles, at a pre-defined time-interval (IVC communication cycle) each IVC vehicle copies the contents in the traffic and/or incident information buffers into its own traffic and/or incident information buffers, processes these contents, keeping useful parts and discarding non-useful parts. How these buffers store information depends on the specific traffic information packet format tested in the simulation.

Three types of information packet formats, "vehicle-based", "link-based" and "incident-based" formats, are tested in our simulation studies. For "vehicle-based" information packet propagation, each IVC vehicle in the simulation network generates a packet that includes: 1) its own vehicle ID information (16 bits), 2) message time stamp indicating when the current message was originally generated (32 bits), 3) GPS location (2x32 bits) and speed (32 bits) of the vehicle generating information at the time of generation, and 4) ID # of link on which vehicle is traveling when it originally generated the information packet (16 bits). Every IVC cycle, each IVC vehicle: 1) gets information packets from neighboring IVC vehicles within communications range, 2) puts all of these newly-received packets into its own incoming buffer, then 3) processes all incoming packets, together with existing packets, which are stored in its processing buffer according to criteria discussed in following sections, 4) updates its processing buffer, and 5) assembles the newest packet together with those packets already processed to send out. The exchange of traffic-related information encapsulated in the vehicle-based packets among neighboring IVC vehicles enables individual IVC vehicles to evolve a composite picture of the

traffic conditions in the network. Our primary objective in testing propagation of vehicle-based traffic information format packets is to determine how far and how fast the location-sensitive traffic information can be disseminated in the traffic network based on our Autonet system concept.

In the case of information propagation of "link-based format" packets, instead of generating its own packet at each simulation time step as in vehicle-based packets propagation studies, each IVC vehicle generates only its own link travel time for the link that it is just passing (32 bits). In the link-based format test cases, each IVC vehicle stores a map consisting of all links in the network. During each IVC cycle, each IVC-capable vehicle: 1) receives link-based information packets from neighboring IVC vehicles, 2) updates travel time for each link in its own map if any link travel time information for that specific link in its newly-received information packets is newer than that already stored in the map, and 3) broadcasts all of the packets in its map at the end of that cycle. The link-based format packets enable each IVC vehicle to continually update real-time travel time information for every link in its map.

"Incident-based" information packets are generated by IVC-capable vehicles passing by an incident location after the incident occurrence. In addition to the vehicle ID and time stamp information, these packets include: 1) incident GPS location coordinates (X, Y) as estimated by the IVC vehicle originally generating this incident information packet (2x32 bits), 2) incident severity as estimated by the IVC vehicle originally generating this incident information packet (16 bits), and 3) ID # of link on which incident occurs (16 bits). The incident information packets are exchanged in the same way as vehicle-base and link-based formats packets presented in this section. Detailed descriptions for generating incident information by passing IVC vehicles will be given in following sections.

3. Information Propagation in Highway Traffic via Uni-directional Exchange

We first present the results of simulation studies of one-way information propagation, i.e., information can be exchanged and propagated (upstream and/or downstream) only through IVC vehicles moving in the same direction. Our principal interest in presenting this case is twofold: 1) to establish a base for demonstrating the gains (if any) in information transmission efficiency

provided by the flow of opposing traffic in the two-dimensional case considered in the next section, and 2) to compare the simulation results to theoretical results obtained for the idealized case of instantaneous propagation (in which the flow of traffic is irrelevant to the process).

We consider both incident and non-incident conditions for various values of market penetration, communications range and traffic conditions. Because our research focus is on a feasibility analysis for the emergence of an Autonet-like system, we limit our investigation to the range of relatively low IVC market penetration rates (0.01 - 0.2) that might be experienced during the nascent period of the evolution of such a system. No particular IVC technology is specified in the simulation studies; 1000 meters is specified as the maximum value for IVC communication radius based on specifying an upper limit that would include the communication range of DSRC under perfect conditions. Because our focus is on traffic management and information applications, as opposed to emergency safety concerns related to vehicle control issues that require the fastest communication rates, we arbitrarily selected 0.5 seconds as the IVC communication cycle and time step in the PARAMICS simulation.

3.1 Uni-directional Information Propagation Simulation Modeling Framework

The roadway investigated in this case is one direction of an 8-lane (4 lanes in each direction) freeway with speed limit of 65 mph. Three levels of O/D demand, based on Level of Service (LOS) A, C and E for freeways from the Highway Capacity Manual (FHWA, 1996), are used in the simulation to generate "light," "moderate" and "heavy" traffic flow conditions, respectively, in the network; values of the related vehicle densities in the simulation are shown in Table 1.

Table 1. O/D Demands for One-way 4-lane Freeway

O/D Demand Level	Flow Rate (vehicles/hour)	Density (vehicles/km)
Light	2600	23
Moderate	6192	55
Heavy	9200	90

The network simulated is 20 km in length; however, to avoid marginal effects from the boundaries of the network, statistical calculations are performed only when vehicles are within

the 10-km long middle portion of the network. The total simulation time is 1 hour; and the first 15 minutes are used as "warm-up" time in the simulation; statistical calculations for simulation output results are for the last 45 minutes of the simulation only. Thirty different random seeds are used to generate the simulation for each unique set of input parameter combinations; the average of all results is used for our analysis.

Both incident and incident-free conditions are considered and tested in the simulations. For incident-free scenarios, vehicle-based format traffic information packets and link-based format packets are studied separately. For incident scenarios, link-based format and incident format packets are tested together in our simulation studies; i.e., IVC-equipped vehicles simultaneously exchange both link-based format packets (for link travel time information) and incident format packets (for the specific incident information) with neighboring IVC vehicles.

There are three major outputs from the simulation that are used in our analysis: 1) *IVC success* probability, representing the average chance that an individual IVC-equipped vehicle can find other IVC vehicles in the communication radius range and communicate successfully in the traffic network at any particular time, i.e., the percentage of IVC-equipped vehicles that successfully transmit information to one or more other so-equipped vehicles during any particular communications cycle; 2) communication bandwidth, indicating the average maximum amount of data that needs to be transmitted by each IVC vehicle in the traffic network, defining the basic requirement for the software and hardware implementation in the proposed system; 3) maximum information propagation distance, at any time an indicator of how fast the information flow is traveling in the traffic network, a key factor in determining whether or not this information flow may potentially benefit the traffic system.

For incident-free scenarios, *maximum information propagation distance* is defined as the maximum difference in distance between a current IVC vehicle location and all of the downstream locations for which that particular vehicle's information packets have been received. These information packets received by the IVC vehicle in question are actually generated by other IVC vehicles downstream of the current IVC vehicle location, at some time before the

current time. Figure 3 shows how maximum information propagation distance is calculated for each individual IVC vehicle.

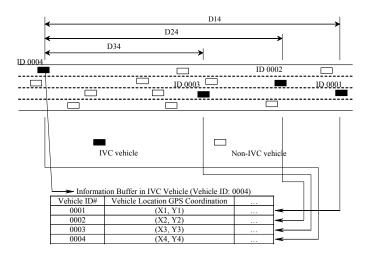


Figure 3. Maximum Information Propagation Distance = D14

For the incident scenarios, we are interested in the difference between the maximum incident information propagation distance and the associated distance that the traffic shock wave due to the incident has propagated; this latter distance is generated by the traffic simulation. Formally, maximum incident information propagation distance is defined as the maximum difference in distance between the current location of an IVC vehicle at any time in upstream traffic which has already received incident information via incident format packets generated by downstream vehicles (Figure 4).

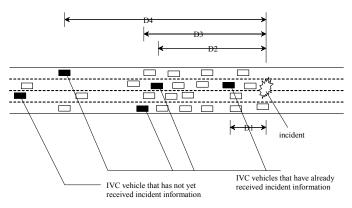


Figure 4. Maximum Incident Information Propagation Distance = D4

3.2 Uni-directional Information Propagation under Incident-free Conditions

Traffic information is sensitive to both time and location. In our uni-directional information propagation studies, useful information can be propagated only from IVC-capable vehicles in the downstream traffic to IVC vehicles in the upstream traffic. Associated with the traffic information's time sensitivity, in order to keep IVC vehicles informed of real-time or close to real-time traffic conditions, the time stamp of the information should within some pre-defined parameter representing the "tolerable" information time delay. After the IVC vehicle receives vehicle-based format information packets from its neighboring IVC vehicles, it keeps and sends the information based on these two criteria. Specifically, each IVC vehicle processes its incoming information packets according to the following rules and/or procedures:

- 1. If the vehicle ID # in the packet is the same as its own vehicle ID #, discard it;
- 2. If the location in the packet is upstream of the current vehicle location, discard it;
- 3. If the packet is from a vehicle ID # already stored in the target vehicle's processing buffer and the time stamp is newer than the one already stored, replace the old one with it; otherwise, discard it;
- 4. If the packet is from a vehicle ID # not previously stored in the target vehicle's processing buffer and its time stamp is newer than the current time minus the time-delay-limit parameter, keep it; otherwise, discard it.

The link-based format traffic information packet propagation provides a preliminary vision of a system in which each IVC-equipped vehicle tries to maintain and update a real-time map containing the detailed traffic status of the network. For the link-based format packet exchange, the 20-km long one-direction roadway is divided into 40 links, each of which is 500 meters long. In these scenarios, each IVC vehicle gets information pertaining to the latest travel time for each link from its neighboring IVC vehicles. If any incoming link travel time information is newer than a value that it already is holding for that link, the IVC vehicle will update the value for that particular link and send out information pertaining to the whole network, i.e., the most recent link travel times from its point of view. Each IVC vehicle also calculates its own travel time for each link that it passes as the newest link information for that particular link, and then sends it out.

The results of the simulations indicate that for uni-directional information propagation the flow of useful information will exist only when the IVC success probability either equals or is very close to 1. That is, the average maximum information propagation distance will be greater than the IVC communication radius range only if the IVC success probability equals or is very close to 1 across the entire system. Strictly speaking, that every individual IVC-equipped vehicle can find another IVC vehicle among its neighboring vehicles within IVC communication radius range at any time is a practical pre-requisite in this case for the information chain not to be broken so as to allow information flow. Thus, in the one-direction propagation case, IVC equipment market penetration rate and vehicle density in the network should be above a relatively high threshold to support the proposed information system. For values of IVC communication radius range R less than 100m, a market penetration as great as 0.2 is insufficient to achieve an IVC success probability close to 1 (Figure 5) even for dense traffic conditions (e.g., LOS E); consequently, under such conditions, average maximum traffic information propagation distances are less than the communications range (Figure 6), which means that information flow is not achievable. (It is noted that the results for LOS E, R=1,000m, in Figure 6 are bounded by the 10,000m limit to eliminate boundary effects in the calculation of statistics for the simulation.)

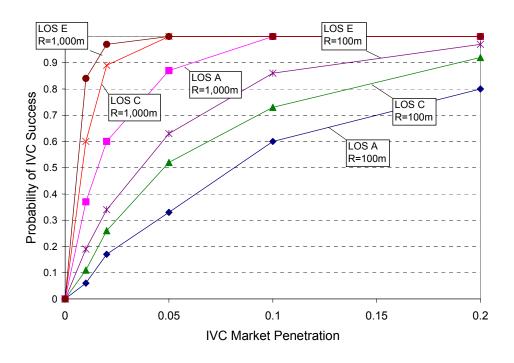


Figure 5. Likelihood of Successful Information Chain

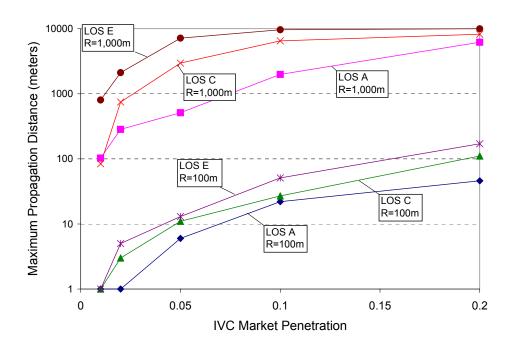


Figure 6. Average Maximum Information Propagation Distance (60-second delay tolerance)

The results imply that it is practically impossible to launch such vehicle-to-vehicle traffic information sharing systems in traffic networks in which all IVC-equipped vehicles are moving in the same direction at a low market penetration rate (less than 0.2) if the IVC technology allows neighboring IVC-equipped vehicles to exchange information only within a limited range (e.g., when the distance between them is on the order of 100 meters). This result is easily explained by the nature of uni-directional information propagation. The information system in this case is just an overlay to the traffic flow that progresses from upstream to downstream. Since the direction of flow of information is reverse to the traffic flow direction, these two flows do not reinforce each other; rather, they combine to make useful information flow even weaker and slower.

The simulation results for this case can be compared (albeit somewhat loosely) to theoretical results obtained for the case of instantaneous information propagation in uniform traffic. Jin and Recker (2005) have shown that for multi-hop instantaneous information propagation on an infinitely long road a uniform stream of traffic with density ρ , a lower bound on the success rate

for information to travel beyond the kth vehicle in cell c (where the length of a cell is defined as equal to the communications range) at h hops, is given by

$$s(c,k) = \max_{h} S(c,k;h)$$

where

$$S(c,k;h) = \sum_{(d,i)=(c,k)}^{(h,n)} P(d,i;h),$$

where P(d,i;h) is determined from the recursive relationship

$$P(c+1,k;h+1) = \sum_{i=1}^{k-1} \sum_{j=1}^{i} \left(P(c,i;h-1) - \sum_{l=j}^{i-1} Q(c,l,i;h-1) \right) \mu^{2} \upsilon^{n+i-k} + \sum_{i=k}^{n} \left(P(c,i;h) - \sum_{l=k}^{i-1} Q(c,l,i;h) \right) \mu \upsilon^{i-k}$$

with

$$P(1, k; 1) = \mu v^{n-k}$$

where

$$Q(c+1,j,k;h+1) = \sum_{i=j}^{k-1} \left(P(c,i;h-1) - \sum_{l=j}^{i-1} Q(c,l,i;h-1) \right) \mu^2 v^{n+i-k}$$

with

$$Q(1, l, i; 1) = 0$$
,

and where μ ($0 \le \mu \le 1$) is the penetration rate of equipped vehicles, and $\upsilon = 1 - \mu$.

Although the outputs of the simulation experiments are not directly comparable to these theoretical results, both because of the assumptions of uniform traffic and instantaneous propagation (relative to the traffic motion) as well as because the simulation is a realization of the probabilities rather than the probabilities themselves, we can nonetheless get a qualitative comparison of the general agreement between the theoretical values for the ideal case and the simulated. Displayed in Figure 7 is a comparison of the simulation results relative to the maximum propagation distance cumulative probabilities predicted by the theoretical model for instantaneous propagation in uniform traffic for moderate traffic density (55 vehicles/km) and market penetration of 0.10. (This corresponds to one of the example scenarios contained in Jin and Recker, 2005.) In the figure, the dotted lines correspond to the theoretical cumulative probabilities of the propagation distance, while the solid lines represent the distribution of the average (over all IVC-capable vehicles in the simulation) propagation distance obtained from 100 simulation runs. There is general agreement between these results; it is noted that the

cumulative distribution for the simulation results have distinctly smaller variance owing to it being derived from the means of the maximum propagation distance from many vehicles in the traffic stream (each IVC-capable vehicle in the simulation produces a corresponding maximum distance for the extent of its information dissemination) while the theoretical results pertain to a single vehicle.

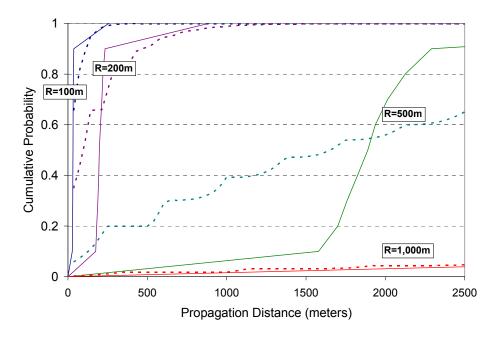


Figure 7. Comparison of Simulation Results to Theoretical Estimates

Another useful comparison to the idealized uniform instantaneous case that this simple unidirectional case affords involves the relationship between the probability of establishing an information chain and the interstitial distance between the limits of the communications range between successive IVC-capable vehicles. For uniformly distributed IVC-capable vehicles in a stream of uniformly spaced vehicles, at any instant the interstitial distance d^* between the limits of the communications range between successive IVC-capable vehicles (i.e., the distance between the trailing and leading edges of the communication ranges of two successive IVCcapable vehicles) is given by $d^* = (traffic density \cdot MPR)^{-1} - 2R$. (The minimum value of d^* , -2, represents the case where two such vehicles are coincident.) Under the deterministic instantaneous uniform assumption, success in establishing an information chain obviously requires $d^* \le 0$. Shown in Figure 8 are the corresponding results from the simulation runs for the uni-directional case as a function of d^* ; the extent to which these results deviate from the instantaneous deterministic case (shown by the dotted line) indicate the influences of stochasticity and traffic flow.

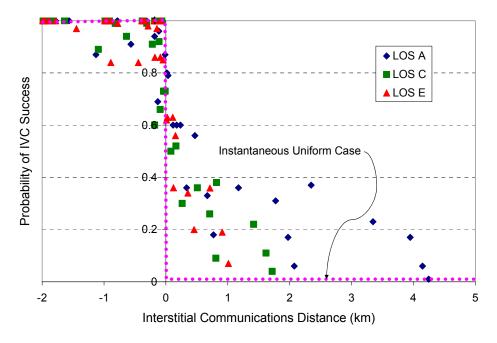


Figure 8. Variation of Probability of Success with Interstitial Communications Distance

It is noted that as traffic density increases, the positive effects of traffic flow on information flow decrease; under heavy traffic conditions (LOS E), the simulation results approach the limiting instantaneous case, while under light traffic conditions (LOS A), the flow of traffic provides a mechanism whereby a vehicle's information can be "carried" to neighboring vehicles beyond the instantaneous interstitial distance.

3.3 Uni-directional Information Propagation under Incident Conditions

In this sub-section, we examine peer-to-peer information sharing and associated information propagation under incident conditions. For demonstration purposes, we present results for the simulation of an incident that totally blocks the roadway, rendering roadway capacity close to zero, occurs 4000 meters from the downstream end of the network at 15 minutes after simulation begins and lasts for 30 minutes. After the incident occurs, and until the incident is cleared, any upstream IVC-equipped vehicles that are less than 50 meters from the incident location will

generate an incident information packet and send out that packet. The practical question that needs to be answered for information propagation under incident conditions is whether or not the incident information wave propagates faster than the traffic shock wave propagates; there is not much value in a driver getting traffic information of an incident downstream if the driver is already stuck in the traffic congestion due to that incident and/or has already passed the critical point to make any re-routing decisions.

In order to estimate the location of the traffic shock wave at any time in our simulations, we divide the roadway into 100 meter-long sections from the incident location to the end of the roadway in the upstream traffic direction. At each time step, the vehicle densities and average speed are compared for every neighboring upstream and downstream section. For purposes of this study, if the value of vehicle density in downstream section is greater than twice of the value of vehicle density in next to upstream section (Density_{downstream} > 2 × Density_{uptream}), and the value of average speed of vehicles in upstream section (Speed_{upstream} > 2 × Speed_{downstream}), the traffic shock wave is determined to have arrived at the border between these two neighboring sections. Linear interpolation is used to estimate the traffic shock wave propagation distance at the specific time point at which the statistical information is calculated.

In the simulation, we compare the difference between the maximum incident information packet propagation distance, defined as the maximum difference in distance between incident location and locations of all of the IVC vehicles upstream of the incident location that have the received incident information packet, and the location of the traffic shock wave at the same time (at 1 minute, 5 minutes and 15 minutes after the incident occurs). The results of the simulation are shown in Figures 9 for three traffic conditions (LOS A, LOS C, and LOS E). Positive values of the difference between propagation distance of the incident information wave and the traffic shock wave indicate that the average information propagation speed (to that point in time) is greater than the traffic shock wave speed, and vise verse. The results indicate that, under the restriction of information being passed along vehicles moving in the same direction, it is only under heavy traffic conditions (LOS E) that information regarding the incident propagates at a pace to be potentially useful to upstream vehicles under even low market penetration rates

(MPR). The results for R=1,000 meters and MPR≥0.10 reveal a case of almost instantaneous propagation, and are not shown on the figure. Under moderate traffic densities (LOS C), a market penetration on the order of 0.10 is required (as in the case noted above, almost instantaneous propagation is achieved under LOS C for R=1,000 meters and MPR=0.20 and results are not shown on the figure), and under low densities (LOS A), a penetration rate on the order of 0.20 is needed. For moderate traffic and market penetrations below 0.10, and for light traffic and market penetrations below 0.20, the information wave front barely outpaces the traffic shock wave. The results also indicate that if the IVC communication radius range is less than 500 meters and market penetration rate is less than 0.2, the traffic shock wave caused by the incident travels faster than the incident information wave. The results virtually prohibit the evolution of the self-organizing, distributed traffic information system on networks in which vehicle information exchange can travel only between vehicles traveling in the same direction.

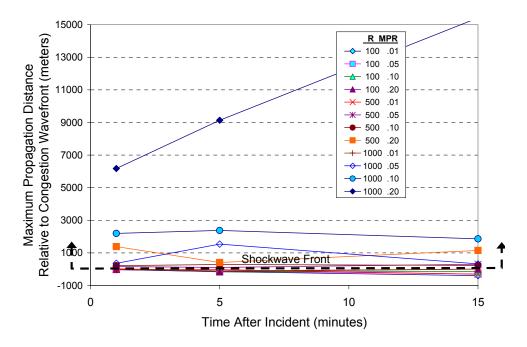


Figure 9a. Difference between Information Wave Front and Traffic Shockwave Front (Light Traffic LOS A)

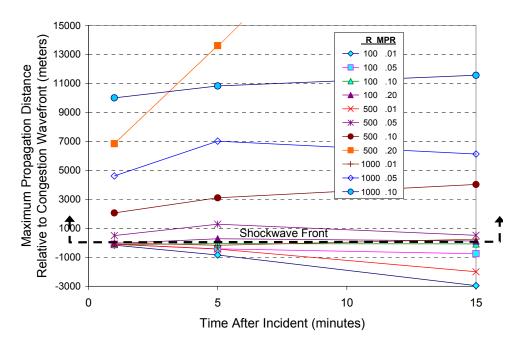


Figure 9b. Difference between Information Wave Front and Traffic Shockwave Front (Moderate Traffic LOS C)

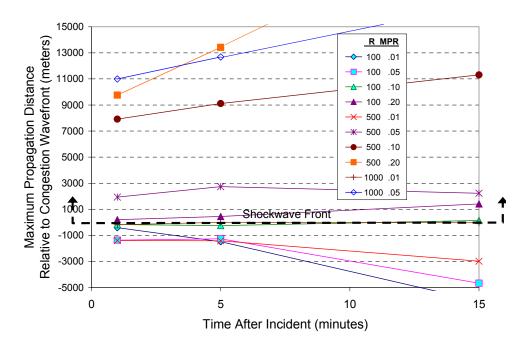


Figure 9c. Difference between Information Wave Front and Traffic Shockwave Front (Heavy Traffic LOS E)

4. Information Propagation in Highway Traffic via Bi-directional Exchange

We next investigate information propagation in freeway network in which the IVC-equipped vehicles moving in the same as well as in the opposite direction on the roadway exchange information with each other. The roadway in this example is assumed to be a freeway with 4 lanes in each direction and speed limit of 65 mph; all other conditions for the simulations were identical to the uni-directional case described previously, with the slight modification in that we define maximum information propagation distance as the maximum difference in distance between the current IVC vehicle location and the locations of all other IVC vehicles, *moving in the same direction as, and downstream of, the current IVC vehicle* that generated vehicle-based format packets contained in any particular IVC vehicle's data base, at the time of generation of their respective packets.

4.1 Bi-directional Information Propagation under Incident-free Conditions

In bi-directional information propagation, we are interested in the information propagation only from IVC vehicles in the downstream traffic to upstream IVC vehicles traveling in the same direction of the roadway (even though this information may be passed through vehicles moving in the opposite direction). In the simulation, once the IVC vehicle receives information packets from its neighboring IVC vehicles, it keeps and sends the information based on the location and time sensitivity criteria defined previously. Each IVC vehicle will keep information emanating from two sources: 1) information from IVC vehicles in the downstream of the same roadway direction, and 2) information from IVC vehicles in the upstream of the opposite roadway direction.

Each IVC vehicle processes its incoming vehicle-based formation information packets according to the following procedures:

- 1. If the vehicle ID # in the packet is the same as its own vehicle ID #, discard it;
- 2. If the packet is generated by a vehicle traveling in the same direction of the roadway as current vehicle and whose location is upstream of the current vehicle location, discard it;

- 3. If the packet is generated by a vehicle traveling in the opposite direction of the roadway as current vehicle and whose location is downstream (relative to the point-of-view traffic moving in the opposite lanes) of the current vehicle location, discard it;
- 4. If the packet is from a vehicle ID # already stored in the target vehicle's processing buffer and the time stamp is newer than the one already stored, replace the old one with it; otherwise, discard it;
- 5. If the packet is from a vehicle ID # not previously stored in the target vehicle's processing buffer and its time stamp is newer than the current time minus the time-delay-limit parameter, keep it; otherwise, discard it.

Compared to information propagation employing information exchange only among vehicles in the same stream of traffic, efficiencies and effectiveness of bi-directional propagation systems built upon inter-vehicle communication technology are significantly easier to achieve. Unlike the one-dimensional flow case, where each IVC-equipped vehicle has to find other IVC vehicles among a set of neighboring vehicles within IVC communication radius range moving at approximately the same speed (and therefore the mix of neighboring vehicles at all times was virtually constant) as a prerequisite for the information chain not to be broken, information flow in bi-directional propagation cases is aided by a rapidly-changing mix of vehicles passing the target vehicle in the opposite direction, all of which are within IVC communication radius range, if only for a brief time. Thus, in the bi-directional propagation case, the threshold of IVC equipment market penetration rate and vehicle density in the network to support the inter-vehicle information system is expected be much lower compared to uni-directional propagation cases. This is a particularly important attribute since having a relatively low threshold for IVC equipment market penetration rate required to support such a decentralized and self-organizing system is critical to evolving the system. In marked contrast to the one-direction roadway case, here the two flows of traffic, moving in opposite directions, effectively increase the vehicle density in time domain in the bi-directional information propagation cases; traffic flow actually helps, rather than hinders, information flow to accelerate its propagation.

The results indicate that, although bi-directional information exchange increases the probability of successful communication during any particular communication cycle only marginally (Figure

10), the average maximum information propagation distance in general will be much greater than the IVC communication radius range even if IVC success probability value is significantly less than 1.0 for the whole system (Figure 11)³. This means that the information chain is not easily broken even if IVC equipment market penetration rate and vehicle density values in bidirectional freeway traffic networks are relatively low.

For IVC communication radius range value of 50 m, which is the smallest value used in our simulation studies, and IVC market penetration rate of 0.01, which is the smallest value that we tested in our studies, IVC success probability is much less than 1 (see Figure 10) for any traffic conditions; yet, the traffic information propagation distance (see Figure 11, where again the 10,000m asymptote for some cases is an artifact of the limits of statistical calculation) is much longer than the communication radius range, making information flow possible in the traffic network. All results imply that it is quite possible that a vehicle-to-vehicle information sharing based traffic information system could be functional at the low market penetration rate (less than 0.02) even if the IVC technology only allows neighboring IVC-equipped vehicles exchange information when the distance between them is less than 50 meters.

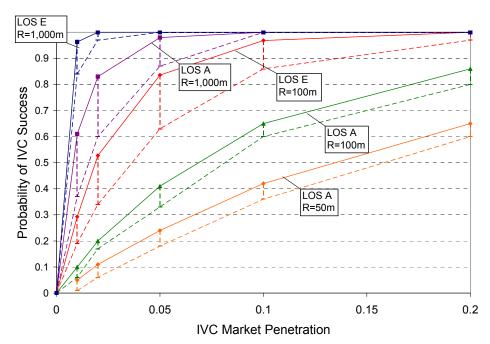


Figure 10. Probability of Successful IVC during Any Particular Communications Cycle

³ For reference, in Figures 10 and 11 the corresponding values for the uni-directional case are shown by the dashed lines.

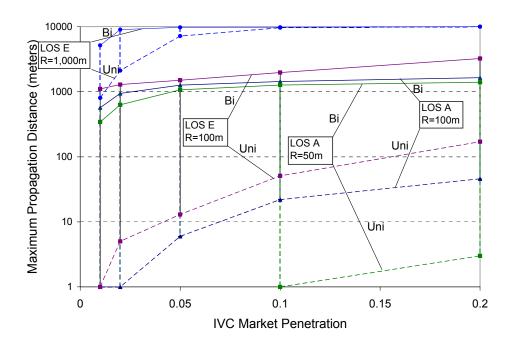


Figure 11. Maximum Expected Propagation Distance under Bi-directional Communication

4.3 Bi-directional Information Propagation under Incident Conditions

The results for incident information wave propagation vs. traffic shockwave propagation under incident conditions in bi-directional freeway show trends similar to those obtained in the initial analysis under incident-free conditions. We get the similar conclusion that, compared to one-direction information propagation cases, it is much easier to achieve a functional Autonet system at a low market penetration rate (equal to 0.01) even if the IVC technology allows neighboring IVC-equipped vehicles to exchange information when the distance between them is no greater than 50 meters (provided that information exchange includes vehicles moving in opposite directions). As shown in Figures 12, in which the propagation distance differences between the incident information wave and traffic shock wave are plotted for various levels of market penetration (MPR), communications range (R), and traffic density in the freeway lanes opposite to the incident (XLOS), the values of propagation distance differences for incident information wave and traffic shock wave are significantly greater than zero for IVC communication radius range as small as 50 meters and marker penetration rate as low as 0.01. (Note: In Figure 12a, the corresponding results for the unidirectional case with R=1,000 m and MPR=0.2 are provided

for comparative purposes; for unidirectional cases involving LOS C and E, the information propagation is essentially instantaneous for R=1,000 m and MPR=0.2 and are not shown.)

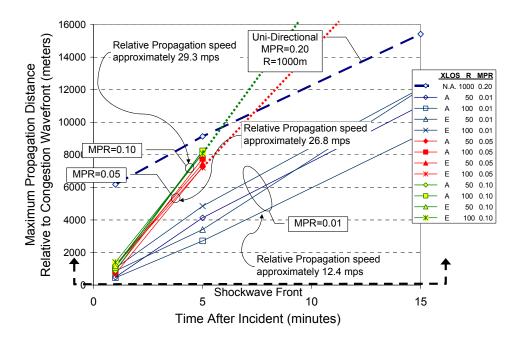


Figure 12a. Difference between Information Wave Front and Traffic Shockwave Front (Light Traffic LOS A)

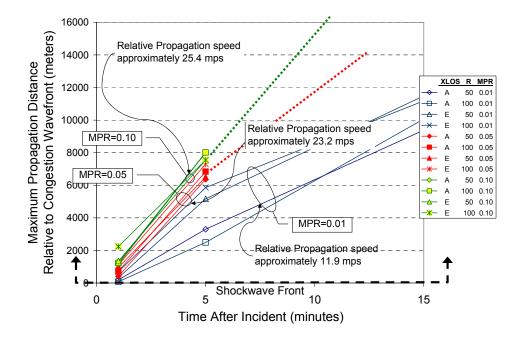


Figure 12b. Difference between Information Wave Front and Traffic Shockwave Front (Moderate Traffic LOS C)

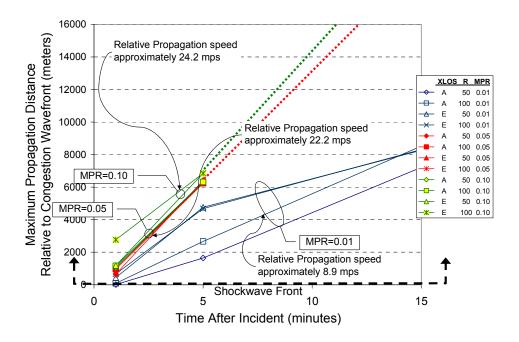


Figure 12c. Difference between Information Wave Front and Traffic Shockwave Front (Heavy Traffic LOS E)

In the bi-directional case, the two opposite traffic flows not only effectively increase the vehicle density in the time domain, but also allow IVC vehicles in the direction of the roadway impacted by the incident to get incident information through IVC vehicles in the other direction of the roadway not impacted by the incident. In this way, traffic flow helps information flow to accelerate its propagation speed under incident conditions.

For communications ranges greater than 100 m, the propagation of incident information relative to the congestion shockwave front is virtually instantaneous for all market penetration rates considered. For conditions displayed in Figures 12 (i.e., relatively low market penetration coupled with relatively short communications range), the relative speed of propagation of the incident information depends on the directional traffic conditions (LOS and XLOS), as reflected by the probability of successful communication between two vehicles. The speed itself is influenced both by any delay in the observation of the incident (e.g., caused by the chance arrival of an IVC-capable vehicle in the opposite lanes of traffic) and the actual propagation of the information via either multi-hop along the traffic queued behind the incident or through the "carrying" of the information upstream by vehicles in the opposing lanes of traffic (or a

combination of the two). For combinations of low market penetration rates and light traffic in the opposing lanes, the observation delay can be significant—roughly speaking, observation delay = 1 / (flow rate * IVC(%)). Correspondingly, the information packet propagation is mostly affected by the probability of successful IVC. At sufficiently high levels of the probability of success (e.g., combinations of high market penetration, large communications radius, and dense traffic), information propagation is mainly by multi-hop IVC which should be virtually instantaneous (limited only by IVC technology); otherwise vehicle movement in opposite direction should be the primary mechanism to pass along the incident information packet (limited by the speed of the opposing traffic, i.e., 50-70 mph). The combination of these effects is captured by the relationship between information propagation speed and probability of successful IVC, as shown in Figure 13.

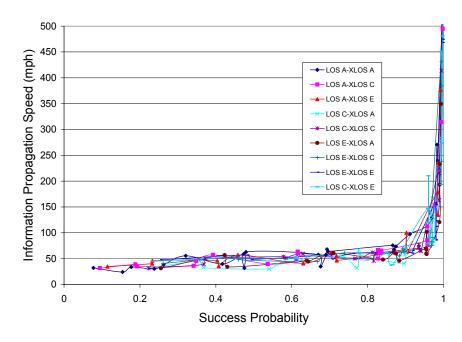


Figure 13. Relationship between Information Propagation Speed and Probability of Successful IVC between Any Two Vehicles

5. Information Propagation in Local Arterial Networks

In this section, we turn our focus to two-dimensional arterial street networks. Vehicles can exchange information with each other if IVC equipped, regardless of which direction of the roadway they are moving, provided that they are within communications range. In our simulation of information dissemination in the arterial networks, the traffic information wave

propagates in two-dimensional space, instead of information moving in only one dimension due to the network limitations imposed by the one-dimensional roadway cases discussed in the previous two sections.

Three levels of O/D demand are used in our simulation studies to generate light, moderate and heavy traffic flow conditions in the arterial network. Table 2 shows average vehicle speeds and vehicle densities under these three O/D demands conditions in the simulation.

Table 2. O/D Demands for Two-dimensional Arterial Network

O/D Demand Level	Average Speed (mph)	Density (vehicles/kilometer)
Light	25	20
Moderate	17	42
Heavy	10	68

The arterial roadway network investigated in our studies consists of a $5,000 \text{m} \times 5,000 \text{m}$ grid with 144 two-direction links; each direction of individual link is a 2-lane 500m-long local street roadway with speed limit of 45 mph. The distance between any two neighboring signalized intersections is 1 km. Figure 14 shows a sketch of the study network.

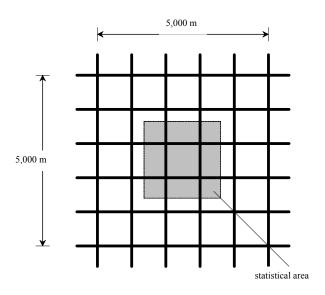


Figure 14. Arterial Grid Network

In order to avoid marginal effects from the networks when vehicles are either just released from their origins or will arrive at their destinations, statistical calculations are performed only when vehicles are in the $2.5 \text{km} \times 2.5 \text{km}$ middle area of the network. (See Figure 14)

5.1 Information Propagation in Arterial Networks under Incident-free Conditions

The mix of multiple traffic flows and information flows in the two-dimensional arterial study network causes the relationships between these flows and information dissemination based upon inter-vehicle communication to be extremely complicated. Because vehicles are distributed in two-dimensional space, vehicle density in the traffic network is higher than in the one-dimensional space of the freeway cases; correspondingly, IVC-equipped vehicles have a greater chance to exchange information due to this high density of vehicles under the same IVC market penetration rate. On the other hand, information flow is not aided as much by traffic flow as in two-direction freeway cases because of slower-moving traffic in arterial street networks – a result of intersection signal control. From Figure 15, we can see that even in heavy traffic conditions, individual IVC-equipped vehicles can not consistently find other IVC vehicles among neighboring vehicles within IVC communication radius range for a market penetration of 0.20 and values of communication radius range less than or equal to 100 m.

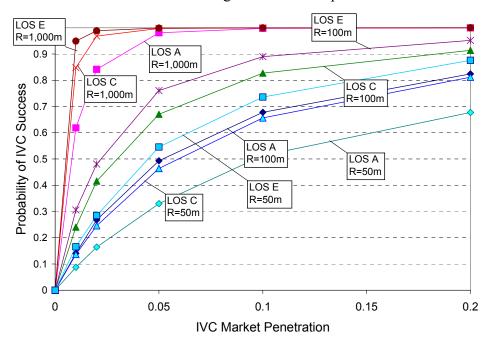


Figure 15. Likelihood of Successful Information Chain

For the arterial case, instead of maximum propagation distance (the uni-dimensional measure used in the freeway case), we define link travel time coverage percentage as the ratio of the number of links for which travel information stored in each IVC vehicle is newer than the current time minus some time delay parameter to the number of total links in our study network. This variable gives a picture of how broadly the traffic information is disseminated in the two-dimensional traffic network via peer-to-peer information exchange among IVC vehicles under some specific time constraints. The results for this measure are shown in Figure 16.

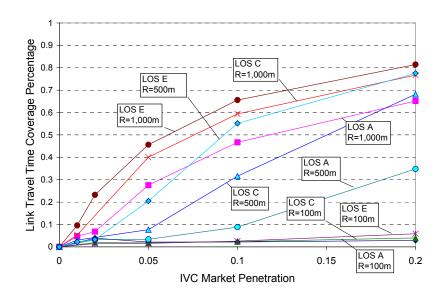


Figure 16. Percentage of Links with "Real-Time" Travel Time Information (Information Delay Tolerance = 60 seconds)

Since vehicles can move in multiple directions in urban arterial street networks, there is no requirement for each individual IVC-capable vehicle to find other IVC vehicles in its neighboring vehicles within IVC communication radius range at all times for the information chain not to be broken. Consequently, it would be expected that information should be more easily disseminated in urban traffic networks than in one-direction freeway networks. However, because of the significantly lower speed of vehicles moving in urban streets due to stop-and-go traffic patterns arising from intersection traffic signal controls (as compared to relatively fast-moving vehicles in freeway networks), information propagation in urban networks is much

slower than in two-direction freeway networks. We find from these very limited studies that an information chain can be built in this particular idealized urban arterial network for relatively low IVC market penetration rates only for communication radius range on the order of 500 m, or about half the length of the grid links. However, it must be emphasized that the simulation presumes that communication can be established without line-of-sight requirements, or without interference. In real-world cases, the results could be significantly worse than in the simulation, since IVC communication conditions could be compromised by the high density of high-rise buildings in urban areas, which would tend to produce an IVC communication success rate much lower than the conditions assumed in the simulation.

5.2 Information Propagation in Arterial Networks under Incident Conditions

In the simulation of the incident scenario, an incident that causes total blockage in one direction of the roadway and renders roadway capacity in that direction close to zero, is assumed to occur on a link that is close to the center of the study grid network. We calculate maximum incident information packet propagation distance, which is defined as the maximum difference in Manhattan distance between incident location and locations of the IVC vehicles which have already received incident information packet, at various time points after the incident occurs. Manhattan distance is considered as a more reasonable distance metric for the grid network than the more commonly used Euclidean distance. Manhattan distance is the distance between two points measured along axes at right angles. The Manhattan propagation distance of the incident information for various parameters is shown in Figure 17.

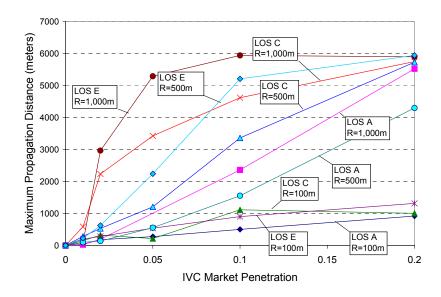


Figure 17. Maximum Information Propagation Distance (Information Delay Tolerance = 60 seconds)

The results indicate that, even for relatively low market penetration rates, incident information can be expected to propagate effectively under all traffic conditions for communications ranges greater than about 500 meters. (Note that, in Figure 17, the asymptotic behavior toward 6,000 meters is due to the information wave having met the boundary of the simulated network.)

6. A Note on Bandwidth

Issues related to bandwidth are generally too complicated to be addressed in this paper. Questions of what frequency is required to pass real useful data while not sending too much duplicate/similar data, what kind of data is useful or not useful, how quickly (timeliness) the data is needed, all need to be addressed. The answers to these questions relate to how to best organize the data, both for the individual vehicles own use as well as passing to others. Bandwidth is of course related to communication cycle—the less frequently data is broadcast to neighboring vehicles the less data (duplicate/similar or not duplicate/similar) transmitted between vehicles, leading to less bandwidth needed. Alternatively, infrequent broadcasting may lead vehicles within communication range to miss opportunity to exchange necessary or useful information, leading to the information chain to be easily broken. A proper treatment of these issues is well beyond the scope of the paper, which is intended to demonstrate the feasibility of

such an IVC system. Indeed, the selection of cycle time and bits of information required to be transmitted were rather arbitrarily chosen, but based on reasonable values determined by existing technology. Although based on arbitrary specifications, some preliminary results regarding bandwidth requirements may provide a relative comparison among the bandwidth demands for the various scenarios considered. For example, the bandwidth required to support near real-time network travel time map generation (link-based information packets) for the bi-directional freeway case is shown in Figure 18 for R=1,000 m.

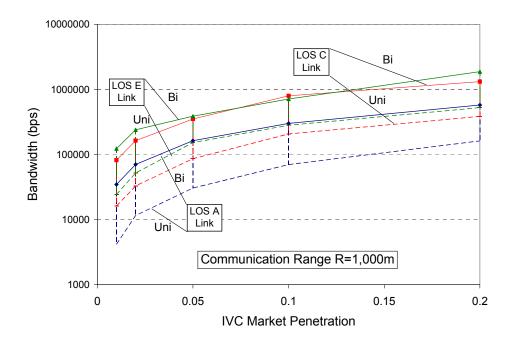


Figure 18. Bandwidth Requirements for Bi-directional Link Information Propagation

Because the complexity of arterial street networks requires more links to represent the whole network (in contrast to relative simple configuration of freeway network cases), the communication bandwidth requirements were found to be almost an order of magnitude higher for the arterial network, as shown in Figure 19 for link-based format information packets.

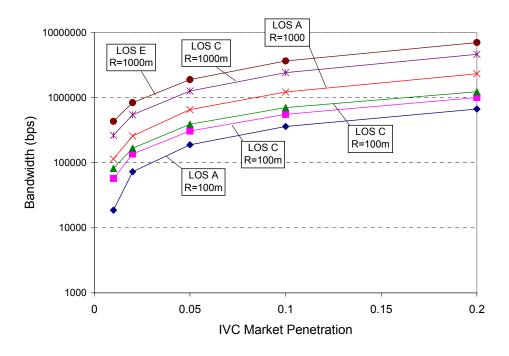


Figure 19. Bandwidth Requirement

However, owing to the redundancies built into the urban grid networks (i.e., many paths linking O/D pairs), vehicle density usually will not increase dramatically across the whole network due to a local incident. Consequently, although the required IVC bandwidth/data rate under incident conditions (not shown) was found to be higher than incident-free conditions, the difference of this value between these two conditions was not as large as in one-dimensional network cases.

7. Summary and Conclusions

In summary, in this paper we focus on the evaluation of a self-organizing, distributed traffic information system from the viewpoint of transportation engineering, studying traffic information propagation in the traffic networks using a simulation framework. The feasibility analysis of the proposed system, assumed to be market-driven, self-maintained and totally independent of any public infrastructure, is designed to shed some light on certain wireless communication requirements under various traffic conditions and network formats.

Following the analyses of the results of the simulation studies, some conclusions are finalized as follows:

- 1. It may be extremely difficult to evolve the proposed self-organizing vehicle-to-vehicle based system to support information propagation for location sensitive, real-time traffic information in freeway networks in which communication is only among vehicles moving in the same direction, especially if the IVC equipment market penetration rate is low and communication radius range is short two conditions that are likely to characterize the proposed system in its start-up period. Under incident conditions, for such market conditions and available IVC technologies, the incident information wave generally travels slower than does the traffic shock wave due to the incident.
- 2. For market conditions and available IVC technologies that are likely to prevail during the system's start-up period, the incident information wave generally travels faster than the traffic shock wave due to the incident freeway networks in which vehicles that are moving in opposite directions in close proximity to each other can exchange information.
- 3. Traffic information dissemination in two-dimensional urban arterial networks via information exchange among IVC-equipped vehicles is also easier to achieve than in one-direction freeway network cases; however, propagation speed is generally slower than in two-direction freeway network cases. Bandwidth/data rate requirements for IVC in urban arterial streets are relatively high because of the representation of the complex network configurations and high density of vehicles in the traffic network due to the distribution of vehicles in two-dimensional space.

There are many potential directions in which the research in this paper can be extended and improved. In this initial stage of the analysis of the proposed system, vehicle-to-roadside station communication is not included in the simulation evaluation framework developed for this research. In order to study the full version of the system proposed, the communication between vehicle and roadside infrastructure should be integrated into our simulation evaluation framework. The characteristics of roadside vehicle communication (RVC) need to be identified

and modeled in the simulation framework. A system integrating IVC and RVC could potentially have an explicit transportation systems management focus.

This paper investigates the propagation traffic-related information based upon "raw" data without being systematically processed for a specific purpose. Research aimed at better understanding of the traffic status of the traffic network based on the distributed traffic information may prove to be critical for each driver with IVC equipment to process information efficiently. Data mining or statistical methods may be utilized for distributed data processing to estimate the traffic condition in the network more accurately. Distributed traffic information processing will be an interesting topic, since the processing field traffic data for further usage traditionally has been centralized.

Finally, we have focused on a simulation approach as the evaluation framework; an analytical approach toward analyzing information propagation in simple networks may be easy to formulate and serve as a better starting point for more complex systems via simulation.

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